



Atty. Dkt. No. 043420-0118

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Clark et al.

Title: METAL ALLOY PRODUCT AND  
METHOD FOR PRODUCING  
SAME

Appl. No.: 09/656,626

Filing Date: 09/07/2000

Examiner: Combs-Morillo, Janelle A.

Art Unit: 1742

**CERTIFICATE OF EXPRESS MAILING**  
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 10/31/03

By Richard L. Schwaab

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> OCT 31 2003 Date Submitted: October 29, 2003 <small>(Use as many sheets as necessary)</small>		<b>Application Number</b> 09/656,626 <b>Filing Date</b> 09/07/2000 <b>First Named Inventor</b> Steven A. Clark <b>Group Art Unit</b> 1742 <b>Examiner Name</b> Combs-Morillo, Janelle A. <b>Attorney Docket Number</b> 043420-0118	
Sheet 1	1	of	1

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

## NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A3	BRICK, Robert M. et al., Structure and Properties of Engineering Materials, 1977, title page and pages 87-91, Fourth Edition, McGraw-Hill Publishing Company, New York.	
	A4	CHU, H.P. et al., Fracture Toughness and Stress Corrosion Properties of Aluminum Alloy Hand Forgings, Journal of Materials, March 1972, pages 95-99, Vol. 7, #1.	
	A5	DIETER, George E., Mechanical Metallurgy, 1986, cover, title page and pages 526-529, Third Edition, McGraw-Hill Publishing Company, New York.	
	A6	MACMASTER, F.J. et al., Aluminum alloy 6069 part II; fracture toughness of 6061-T6 and 6069-T6, Materials Science and Engineering, 2000, pages 54-59, A289, Elsevier Science S.A.	
	A7	YAHIR, G.T., Prevention of Nonductile Fracture in 6061-T6 Aluminum Nuclear Pressure Vessels, Journal of Pressure Vessel Technology, May 1997, pages 150-156, Vol. 119.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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